Case 1:15-cv-07329-PGG Document 2 Filed 09/16/15 Page 1 of 6

JS 44C/SDNY REV. 4/2014

CIVIL COVER SHEET

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for use of the Clerk of Court for the purpose of initiating the civil docket sheet.

PLAINTIFFS JANE FRANKLIN and JONATHAN RICHARD WILLIAMSON, on behalf of

DEFENDANTS

ATTORNEYS (FEM NAME, ADDRESS, AND TELEPHONE NUMBER ATTORNEYS (IF KNOWN) SEE ATTACHMENT 2 CAUSE OF ACTION (OFF THE U.S. COVIL STATUTE UNDER WHICH YOU ARE FLING AND WRITE A BRIEF STATEMENT OF CAUSE) (DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY) CIVIL anitirust and commodities claims brought pursuant to the Sherman Act § 1 (15 U.S.C. § 1); Clayfon Act §§ 4 and 16 (15 U.S.C. §§ 15(a) § 26.); and Commodity Exchange Act § 22 (7 U.S.C. § 25). Has this action, case, or proceeding, or one essentially the same been previously filed in SDNY at any time? Notice Industry If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No. Yes If yes, give date & Case No. If yes, was this case Vot. movil Dismissed No. Yes If yes, give date & Case No. If yes any transfer No. Yes If yes any transfer No. I	themsel	ves and all others	similarly situated					
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Check YES only if demanded in complaint JURY DEMAND: ☒ YES ☐NO

NOTE: You must also submit at the time of filing the Statement of Relatedness form (Form IH-32).

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▼ 1 Original Proceeding	2 Removed from State Court a. all parties represented b. At least one	3 Remanded from Appellate Court	4 Reinstated or Reopened	<u></u> 5	Transferred from (Specify District)	6 Multidistrict Litigation	□ 7	Appeal to District Judge from Magistrate Judge Judgment					
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CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)													
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DEFENDANT(S) A SEE ATTACH	ADDRESS(ES) AND C MENT	OUNTY(IES)											
DEFENDANT(S) ADDRESS UNKNOWN REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:													
(DO I	S ACTION SHOULD NOT check either box if the PLAINT.) SIGNATURE OF ATTO /s/Scott M.	nis a PRISONER PETI DRNEY OF RECORD		A[[] [X]	HTS OMITTED TO PR	MANHAT RACTICE IN THIS E DMITTED Mo. 8 # SR5005	DISTRICT	•					
Magistrate Judge	e is to be designated	d by the Clerk of th	ne Court.										
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Ruby J. Krajick,	Clerk of Court by	Dep	outy Clerk, DA	TED		·							
UNITED STATES	DISTRICT COURT (N	EW YORK SOUTHI	ERN)										

ATTACHMENT TO CIVIL COVER SHEET

DEFENDANTS

- 1. Bank of Nova Scotia, New York Agency
- 2. BMO Capital Markets Corp.
- 3. BNP Paribas Securities Corp.
- 4. Barclays Capital Inc.
- 5. Cantor Fitzgerald & Co.
- 6. Citigroup Global Markets Inc.
- 7. Credit Suisse Securities (USA) LLC
- 8. Daiwa Capital Markets America Inc.
- 9. Deutsche Bank Securities Inc.
- 10. Goldman, Sachs & Co.
- 11. HSBC Securities (USA) Inc.
- 12. Jeffries LLC
- 13. J.P. Morgan Securities LLC
- 14. Merrill Lynch, Pierce, Fenner & Smith Incorporated
- 15. Mizuho Securities USA Inc.
- 16. Morgan Stanley & Co. LLC
- 17. Nomura Securities International, Inc.
- 18. RBC Capital Markets, LLC
- 19. RBS Securities Inc.
- 20. SG Americas Securities, LLC
- 21. TD Securities (USA) LLC
- 22. UBS Securities LLC

DEFENDANTS ADDRESS AND COUNTIES

- Bank of Nova Scotia, New York Agency
 250 Vesey Street, New York, New York 10080
 (County of New York)
- BMO Capital Markets Corp.
 Times Square, 28th Floor, New York, New York 10036 (County of New York)

3. BNP Paribas Securities Corp.

787 Seventh Avenue, New York, New York 10019 (County of New York)

4. Barclays Capital Inc.

745 Seventh Avenue, New York, New York 10019 (County of New York)

5. Cantor Fitzgerald & Co.

499 Park Avenue, New York, New York 10022 (County of New York)

6. Citigroup Global Markets Inc.

390-388 Greenwich Street, New York, New York 10013 (County of New York)

7. Credit Suisse Securities (USA) LLC

11 Madison Avenue, 24th Floor, New York, New York 10010 (County of New York)

8. Daiwa Capital Markets America Inc.

Financial Square, 32 Old Slip, New York, New York 10005 (County of New York)

9. Deutsche Bank Securities Inc.

60 Wall Street, 4th Floor, New York, New York 10005 (County of New York)

10. Goldman, Sachs & Co.

200 West Street, 29th Floor, New York, New York 10282 (County of New York)

11. HSBC Securities (USA) Inc.

452 Fifth Avenue, New York, New York 10018 (County of New York)

12. Jeffries LLC

520 Madison Avenue, 10th Floor, New York, New York 10022 (County of New York)

13. J.P. Morgan Securities LLC

277 Park Avenue, New York, New York 10172 (County of New York)

14. Merrill Lynch, Pierce, Fenner & Smith Incorporated One Bryant Park, New York, New York 10036 (County of New York)

15. Mizuho Securities USA Inc.

320 Park Avenue, 12th Floor, New York, New York 10022 (County of New York)

16. Morgan Stanley & Co. LLC

1585 Broadway, New York, New York 10036 (County of New York)

- 17. Nomura Securities International, Inc. 309 West 49th Street, Worldwide Plaza, New York, New York 10019 (County of New York)
- 18. RBC Capital Markets, LLC3 World Financial Center, 200 Vesey Street, 8th Floor, New York, New York 10281 (County of New York)
- 19. RBS Securities Inc.600 Washington Boulevard, Stamford, Connecticut 06901 (County of Fairfield, Connecticut)
- SG Americas Securities, LLC
 1221 Avenue of the Americas, 6th Floor, New York, New York 10020
 (County of New York)
- 21. TD Securities (USA) LLC 31 West 52nd Street, New York, New York 10019 (County of New York)
- 22. UBS Securities LLC677 Washington Boulevard, Stamford, Connecticut 06901(County of Fairfield, Connecticut)

ATTACHMENT 2 TO CIVIL COVER SHEET

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